PURPOSE: The purpose of this written directive is to establish a personnel early warning system.

POLICY: It is the policy of the Police Department to implement and utilize an early warning system for tracking and reviewing the indicators of increased risk and provide timely, non-punitive intervention consistent with best practices. This written directive is in accordance with the New Jersey Attorney General's Law Enforcement Directive No. 2018-3.
PROCEDURES

I. GENERAL

A. An early warning system is designed to detect patterns and trends before the conduct escalates into more serious problems. The primary intent is to address potential problems through the use of appropriate management intervention strategies before negative discipline becomes necessary.

B. All levels of supervision, especially first line supervisors, are expected to recognize potentially troublesome employees, identify training needs and provide professional support in a consistent and fair manner. Emphasis should be placed on anticipating problems among employees before they result in improper performance or conduct.

C. Many different measures of employee performance can be regularly examined for patterns or practices that may indicate potential problems. These performance measures can include, but are not limited to the following documented indicators:

1. Internal affairs complaints against an employee, whether initiated by another employee or by a member of the public, regardless of outcome;

2. Civil actions filed against the officer, regardless of outcome;

3. Criminal investigations of or criminal complaints against an employee;

4. Any use of force by the officer that is formally determined or adjudicated (for example, by internal affairs or a grand jury) to have been excessive, unjustified, or unreasonable;

5. Domestic violence investigations in which the employee is an alleged subject;

6. An arrest of the employee, including on a driving under the influence charge;

7. Sexual harassment claims against an employee;

8. Vehicular collisions involving the officer that are formally determined to have been the fault of the officer;

9. A positive drug test by the officer;

10. Cases or arrests by the officer that are rejected or dismissed by a court;

11. Cases in which evidence obtained by an officer is suppressed by a court;

12. Insubordination by the officer;

13. Neglect of duty by the officer; and
14. Unexcused absences by the employee.

15. Any other indicators, as determined by the Agency's chief executive

D. Generally, three (3) instances of questionable conduct or performance indicators (as listed in section C, above) within a 12-month period would initiate the early warning system process.

E. If one incident triggers multiple performance indicators, that incident shall not be double or triple counted, but instead shall count as only one performance indicator.

F. The early warning system is primarily the responsibility of each division commander; but any supervisor may initiate the early warning process based upon his/her own observations.

G. At least every six (6) months, the Chief of Police shall cause an audit the agency's tracking system and records to assess the accuracy and efficacy of the tracking system. The Chief of Police or designee shall prepare a written report noting the participants and outcomes. Modifications to this process should be implemented at the earliest opportunity.

II. GUARDIAN TRACKING SOFTWARE

A. This department will utilize Guardian Tracking® software in order to manage, track, and trigger alerts with respect to this Personnel Early Warning System.

1. Guardian Tracking® is an employee behavioral monitoring and early warning system. In no way, does it excuse a supervisor or any other employee from following the procedures mandated in the Internal Affairs SOP.

B. Supervisors shall have access to the Guardian Tracking system via an assigned login and personal password. Employees who have not been issued a login are not authorized to access the system.

C. All supervisors have the responsibility to enter all incidents listed below in subsection II.D for each of their subordinates. Supervisors who fail to document incidents as required by this policy will be subject to disciplinary action. No member shall document behavior of a peer or superior. This information shall be brought to the attention of their supervisor.

D. The incident categories are listed under the create tab in Guardian Tracking. A description will be displayed once the category is selected. Examples of the incident categories contained within Guardian Tracking.

1. Award Nomination;

2. CED Deployment;

3. Commendation Received;

4. Counseling Notice Issued;
5. Demotion;
6. FMLA (Family Medical Leave Act);
7. Initiative;
8. K-9 Deployment;
9. Leadership Demonstrated;
10. Leads by Example;
11. Oral Reprimand;
12. Performance Recognition;
13. Productivity Satisfactory;
14. Productivity Unsatisfactory;
15. Pursuit;
16. Remedial Training Conducted;
17. Report Writing Excellence;
18. Report Writing Error;
19. Supervisor Responsibilities;
20. Suspension;
21. Tardiness;
22. Termination;
23. Training;
24. Training Notice Issued;
25. Use of Force;
26. Worker's Comp. Loss of Time;
27. Worker's Comp. No Loss of Time;
28. Written Reprimand.

E. It will be the responsibility of the Watch Commander to document any of the following events in Guardian Tracking before the end of the Watch commander’s shift.

1. CED deployment
2. K-9 deployment
III. REMEDIAL ACTION

A. If the early warning system reveals a potential problem through the presence of a flag in the Guardian Tracking® software or as identified by a supervisor, the division commander or designee will gather all relevant information from the system. A separate incident will be generated in the Guardian Tracking® software using the appropriate Early Warning Intervention Incident (see subsection II.D.)

B. The division commander will cause a review of the data provided, along with more detailed information available from department records. If this review indicates that the early warning system flag is unwarranted, the division commander or designee will document this result in writing. The incident narrative placed in the Guardian Tracking® software may serve as adequate documentation.

C. If the review reveals that an employee has violated department directives, the Chief of Police may proceed with an internal investigation, if warranted. If the review reveals that the employee has engaged in conduct that indicates a lack of understanding or inability to comply with accepted procedures, the Chief of Police shall determine the appropriate course of remedial action. All action taken will be documented in the Guardian Tracking® software under the previously created Early Warning Intervention Incident category.

D. Supervisory or command personnel may initiate remedial/corrective intervention to correct behavior. Remedial/corrective intervention may include, but is not limited to:

1. Training;
2. Retraining;
3. Counseling;
4. Intensive supervision;
5. Fitness for duty examination;
6. Employee Assistance Program;
7. Peer counseling.

E. Internal disciplinary action, remedial action, and fitness for duty examinations are not mutually exclusive and should be jointly pursued if and when appropriate.

F. When remedial action has been undertaken, the Chief of Police shall ensure that such actions are documented in writing. No entry should be made in the employee’s personnel file, unless the action results in a sustained investigation. If the remedial action is a training program, attendance and successful completion of that program should be noted in the employee’s training record.
G. The Chief of Police shall cause a review of any individual employee's history anytime a new complaint is made. Using this information, the Chief of Police or designee may be able to identify employees who may need counseling, training or other remedial action even before such is indicated by the early warning system's ongoing data review.

H. Generally, personnel should expect to remain under intensive monitoring and supervision for at least three (3) months when an early warning flag is triggered or until the supervisor concludes that the employee's behavior has been remediated (whichever is longer).

I. When under early warning system monitoring, the employee's direct supervisor shall meet with the employee to discuss the situation in depth to:

1. Identify problems or potential problems;
2. Determine short and long-term goals for improvement;
3. Come to a consensus commitment on a plan for long-term improved performance;

J. Any statement made by the officer in connection with the early warning system review process may not be used against them in any disciplinary or other proceeding.

K. All employee-supervisor meetings shall be thoroughly documented in the Guardian Tracking® software, which will automatically be forwarded to the Chief of Police. The affected employee and supervisor shall meet on a regular basis, minimally monthly, to discuss progress towards the agreed upon goals and objectives.

L. All regular monthly progress/status reports shall be submitted via the Guardian Tracking® software.

M. An additional six (6) months of documented monitoring is required following removal from early warning system. Monthly monitoring reports from the direct supervisor are required.

N. All reports shall be forwarded to the Chief of Police through the Guardian Tracking® software for review. These reports have the same confidential status as Internal Affairs documents and are subject to the same disclosure and retention regulations and guidelines.

IV. NOTIFICATION TO SUBSEQUENT LAW ENFORCEMENT EMPLOYER

A. If any officer who is or has been subject to an Early Warning System review process applies to or accepts employment at a different law enforcement agency than the one where he or she underwent the Early Warning System review process, it is the responsibility of the prior or current employing law enforcement agency to notify the subsequent employing law enforcement agency of the officer's Early Warning System review process history and outcomes. Upon request, the prior or current employing agency shall share the officer's Early Warning System
review process files with the subsequent employing agency.
V. NOTIFICATION TO COUNTY PROSECUTOR

A. Upon initiation of the Early Warning System review process, the Chief of Police or a designee shall make a confidential written notification to the County Prosecutor or his/her designee of the identity of the subject officer, the nature of the triggering performance indicators, and the planned remedial program. Upon completion of the Early Warning System review process, the Chief of Police shall make a confidential written notification to the County Prosecutor or his/her designee of the outcome of the Early Warning System review, including any remedial measures taken on behalf of the subject officer.

VI. PUBLIC ACCESSIBILITY AND CONFIDENTIALITY

A. The Early Warning System policy shall be made available to the public upon request and shall be posted on the agency website.